

Voice Recording

for capital markets compliance



IPC

Solution sheet

Global Standard and Service Delivery

IPC provides global support specialists, technicians and product engineers in every financial market to help firms implement regulatory policy across their global enterprise in a standardized and optimized manner. Customers benefit from leveraging IPC's support expertise to manage complex compliance infrastructure and help offset some of the regulatory burdens through IPC's:

- Largest service delivery team serving the financial markets
- Global product offering that can be standardized across your organization and centrally managed and administrated.

Unmatched Experience

IPC has been the market leader in providing trading communications platforms to the financial community for more than 40 years. IPC's products and services have helped ensure that its customers can meet the ever-changing business and regulatory challenges that they need to address. As such, IPC has delivered and supports more channels of Compliance Voice Recording around the world than any other vendor with:

- More than 80,000 channels deployed and supported on a daily basis
- Over 30 years-experience delivering mission-critical compliance solutions to the financial markets.

Mobile Voice Recording Regulatory Mandate

In the wake of the Global Financial Crisis, regulators and the financial community both realized that greater transparency and oversight were needed to ensure customer trust in the markets. New regulations in every market have been drafted or enacted, led by Dodd-Frank in the US and MiFID II in the EU.

Between Dodd-Frank and MiFID II, over 600 new regulations are being put in place to help ensure market integrity, including the most extensive mobile voice recording requirements, ever.

These new mobile voice recording requirements:

- Have already been put into the official registrar and are close to term for regulatory enforcement
- Have clearly defined retention and recording system operational mandates
- Reach across the trading enterprise to include both traders and trade support personnel, such as portfolio managers, economists and others.

Compliance Market Insight and Market Focus

IPC provides solutions to 20 of the top 20 banks in the world and to the largest community of financial markets participants in every market. This allows IPC to provide customers with its market-leading insight and the collected Compliance best practices that it managed across every regulated financial market.

This ensures:

- The best market by market regulatory strategy to help manage risk policies and compliance management
- Global subject matter expertise in trading workflow, UC, applications and network technology to provide firms with a holistic approach to regulatory policy coordination.

Voice Recording

User's Mobile Phone Experience Unchanged

IPC understands that meeting the challenge of these new mobile voice recording requirements will be difficult enough for its customers. Therefore, as it designed its Mobile Voice Recording offer, IPC knew that they had to ensure that there would be no impact of the solution to the end users being recorded.

The IPC Mobile Voice Recording solution:

- Ensures no change to the user experience – traders and other end-users continue to make calls as they normally would – although now fully compliant
- Allows users to maintain their current phone with their existing apps, photos and all of their existing contacts – no one is forced to go back to Blackberry.

Difficult Challenge — Simple Solution

IPC has simplified its solution so that it is a complete all-in-one bundled solution with clear and simple linear per-user pricing.

IPC's Mobile Voice Recording features:

- The #1 SIM-Based recording platform – leading solution in the UK market
- All-in-One Pricing Model - Unlimited Talk, unlimited SMS, DATA, Compliance Recording, Secure Administration
- Full-Term Regulatory Retention – that allows firms to meet the mandate
- Secure, Tamper-Proof or Encrypted Recordings – for enhanced security



About IPC

IPC is a technology and service leader that powers financial markets globally. We help clients anticipate change and solve problems, setting the standard with industry expertise, exceptional service and comprehensive technology. With customers first and always, we collaborate with each to understand their individual needs to help make them secure, productive and compliant within our connected community. Through service excellence, long-developed expertise and a focus on innovation and community, we provide agile and efficient ways for our customers to accelerate their ability to adapt to the ever-changing requirements for advanced data networks, compliance and collaboration with all counter-parties across the financial markets.

www.ipc.com

Contact US

Americas

T +1.201.253.2000

EMEA

T +44.(0)20.7979.7200

Asia Pacific

T +852.2899.8088